

RECEIVED

MAR 21 2001

PART OF PAPER #3

Page 1 of 7

FORM PTO-1449

## INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.  
0666.0230000/TGD/ALFAPPLICATION NO.  
09/717,115APPLICANT  
Okada et al.FILING DATE  
November 22, 2000GROUP  
To be assigned

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL |     | DOCUMENT NUMBER | DATE    | NAME           | CLASS | SUB-CLASS | FILING DATE |
|---------------------|-----|-----------------|---------|----------------|-------|-----------|-------------|
|                     | AA1 | 1,136,363       | 04/1915 | Pepper         |       |           |             |
|                     | AB1 | 1,227,055       | 05/1917 | Kellogg        |       |           |             |
|                     | AC1 | 1,266,606       | 05/1918 | Manly          |       |           |             |
|                     | AD1 | 2,480,069       | 01/1949 | Wright         |       |           |             |
|                     | AE1 | 3,131,580       | 05/1964 | Forster        |       |           |             |
|                     | AF1 | 3,209,538       | 10/1965 | Kuze           |       |           |             |
|                     | AG1 | 3,213,616       | 10/1965 | Reinke         |       |           |             |
|                     | AH1 | 3,279,172       | 10/1966 | Kudo et al.    |       |           |             |
|                     | AI1 | 3,360,933       | 01/1968 | Swanson et al. |       |           |             |
|                     | AJ1 | 3,411,296       | 11/1968 | Peterson       |       |           |             |
|                     | AK1 | 3,424,032       | 01/1969 | Ritter         |       |           |             |

## FOREIGN PATENT DOCUMENTS

| EXAMINER<br>INITIAL |     | DOCUMENT NUMBER | DATE    | COUNTRY | CLASS | SUB-CLASS | TRANSLATION |
|---------------------|-----|-----------------|---------|---------|-------|-----------|-------------|
|                     | AL1 | 955,691         | 01/1950 | France  |       |           | Yes<br>No   |
|                     | AM1 | 1075915         | 02/1960 | Germany |       |           | Yes<br>No   |
|                     | AN1 | A 61-266,868    | 1986    | Japan   |       |           | Yes<br>No   |
|                     | AO1 | 32 39 223       | 06/1984 | Germany |       |           | Yes<br>No   |
|                     | AP1 | 439,445         | 04/1912 | France  |       |           | Yes<br>No   |

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

|    |   |  |
|----|---|--|
| AR | 1 | "Series 2600 Transaxles," Tecumseh Product Information, one page.  |
| AS | 1 | "U.S. Advances in Tracked Armoured Vehicle Transmissions," <i>International Defense Review</i> , March, 1978, pp. 396-400. |
| AT | 1 | "Characteristics - Transmission. Crossdrive XMI," General Electric Product Information.                                    |

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

RECEIVED

MAR 21 2001

Page 2 of 7

103600 MAIL ROOM

FORM PTO-1449

ATTY. DOCKET NO.  
0666.0230000/TGD/ALFAPPLICATION NO.  
09/717,115APPLICANT  
Okada *et al.*FILING DATE  
November 22, 2000GROUP  
To be assigned

INFORMATION DISCLOSURE STATEMENT

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL |     | DOCUMENT NUMBER | DATE    | NAME                     | CLASS | SUB-CLASS | FILING DATE |
|---------------------|-----|-----------------|---------|--------------------------|-------|-----------|-------------|
|                     | AA2 | 3,430,438       | 03/1969 | Weiss                    |       |           |             |
|                     | AB2 | 3,486,335       | 12/1969 | Kern <i>et al.</i>       |       |           |             |
|                     | AC2 | 3,672,167       | 06/1972 | Griesenbrock             |       |           |             |
|                     | AD2 | 3,680,312       | 08/1972 | Forster                  |       |           |             |
|                     | AE2 | 3,687,212       | 08/1972 | Forster                  |       |           |             |
|                     | AF2 | 3,751,924       | 08/1973 | Brown <i>et al.</i>      |       |           |             |
|                     | AG2 | 3,808,814       | 05/1974 | Macy, I.                 |       |           |             |
|                     | AH2 | 3,864,916       | 02/1975 | Maistrelli <i>et al.</i> |       |           |             |
|                     | AI2 | 3,898,807       | 08/1975 | Habiger                  |       |           |             |
|                     | AJ2 | 3,908,376       | 09/1975 | Steiger                  |       |           |             |
|                     | AK2 | 3,944,010       | 03/1976 | Winger <i>et al.</i>     |       |           |             |

## FOREIGN PATENT DOCUMENTS

| EXAMINER<br>INITIAL |     | DOCUMENT NUMBER | DATE    | COUNTRY        | CLASS | SUB-CLASS | TRANSLATION |
|---------------------|-----|-----------------|---------|----------------|-------|-----------|-------------|
|                     | AL2 | 62-44198        | 03/1987 | Japan          |       |           | Yes<br>No   |
|                     | AM2 | 62-101945       | 06/1987 | Japan          |       |           | Yes<br>No   |
|                     | AN2 | 64-4520         | 01/1989 | Japan          |       |           | Yes<br>No   |
|                     | AO2 | 1281094         | 07/1972 | United Kingdom |       |           | Yes<br>No   |
|                     | AP2 |                 |         |                |       |           | Yes<br>No   |

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

|    |   |  |
|----|---|--|
| AR | 2 | Stuhr, H., "Anordnungen Hydrostatischer Getriebe in Fahrzeugantrieben," <i>ATZ Automobiltechnische Zeitschrift</i> , No. 1, January 1968, pp. 6-9.     |
| AS | 2 | "Light Duty Family of Transmissions," Eaton Product Information, P. 2.   |
| AT | 2 | "Peerless Series 2500, 1300, 1310 'Hydrostatic' 2-Speed Gear Reduction and Differential," Tecumseh Products Company Production Information, two pages. |

EXAMINER

DePuy.

DATE CONSIDERED

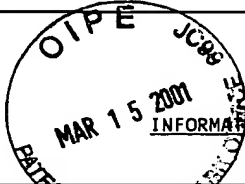
3/1/3

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

RECEIVED

MAR 21 2001

Page 3 of 7

|  |                                  |  |   |                               |
|--|----------------------------------|--|---|-------------------------------|
|  | TO 3600 MAIL ROOM                |  | PRIORITY DOCKET NO.<br>0666.0230000/TGD/ALF | APPLICATION NO.<br>09/717,115 |
|  | FORM PTO-1449                    |  | APPLICANT<br>Okada et al.                   |                               |
|  | INFORMATION DISCLOSURE STATEMENT |  | FILING DATE<br>November 22, 2000            | GROUP<br>To be assigned       |

## U.S. PATENT DOCUMENTS

| EXAMINER INITIAL |     | DOCUMENT NUMBER | DATE    | NAME             | CLASS | SUB-CLASS | FILING DATE |
|------------------|-----|-----------------|---------|------------------|-------|-----------|-------------|
|                  | AA3 | 4,145,883       | 03/1979 | Forster          |       |           |             |
|                  | AB3 | 4,262,768       | 04/1981 | Itatani et al.   |       |           |             |
|                  | AC3 | 4,495,768       | 01/1985 | Valavaara        |       |           |             |
|                  | AD3 | 4,616,478       | 10/1986 | Jensen           |       |           |             |
|                  | AE3 | 4,627,237       | 12/1986 | Hutson           |       |           |             |
|                  | AF3 | 4,686,829       | 08/1987 | Thoma et al.     |       |           |             |
|                  | AG3 | 4,726,256       | 02/1988 | von Kaler et al. |       |           |             |
|                  | AH3 | 4,756,208       | 07/1988 | Hayashi et al.   |       |           |             |
|                  | AI3 | 4,784,013       | 11/1988 | Yamaguchi et al. |       |           |             |
|                  | AJ3 | 4,843,818       | 07/1989 | Thoma et al.     |       |           |             |
|                  | AK3 | 4,856,264       | 08/1989 | Nishimura et al. |       |           |             |

## FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL |     | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB-CLASS | TRANSLATION |
|------------------|-----|-----------------|------|---------|-------|-----------|-------------|
|                  | AL3 |                 |      |         |       |           | Yes<br>No   |
|                  | AM3 |                 |      |         |       |           | Yes<br>No   |
|                  | AN3 |                 |      |         |       |           | Yes<br>No   |
|                  | AO3 |                 |      |         |       |           | Yes<br>No   |
|                  | AP3 |                 |      |         |       |           | Yes<br>No   |

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

|    |          |  |
|----|----------|--|
| AR | <u>3</u> | "Kanzaki Transaxle Model K100, K200," Kanzaki Product Information, one page. |
| AS | <u>3</u> |  |
| AT | <u>3</u> |  |

EXAMINER

*Dehry*

DATE CONSIDERED

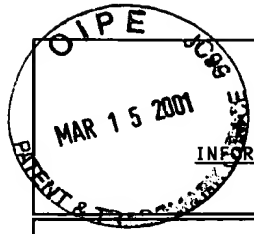
*3/31/03*

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

RECEIVED

MAR 21 2001

Page 4 of 7



FORM PTO-1449

## INFORMATION DISCLOSURE STATEMENT

 TO 3600 MAIL ROOM  
 ATTY. DOCKET NO.  
 0666.0230000/TGD/ALF
APPLICATION NO.  
09/717,115APPLICANT  
Okada *et al.*FILING DATE  
November 22, 2000GROUP  
To be assigned

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL |     | DOCUMENT NUMBER | DATE    | NAME                   | CLASS | SUB-CLASS | FILING DATE |
|---------------------|-----|-----------------|---------|------------------------|-------|-----------|-------------|
| <i>alt</i>          | AA4 | 4,862,767       | 09/1989 | Hauser                 |       |           |             |
|                     | AB4 | 4,870,820       | 10/1989 | Nemoto                 |       |           |             |
|                     | AC4 | 4,891,943       | 01/1990 | Okada                  |       |           |             |
|                     | AD4 | 4,893,524       | 01/1990 | Ohashi <i>et al.</i>   |       |           |             |
|                     | AE4 | 4,896,506       | 01/1990 | Shivvers <i>et al.</i> |       |           |             |
|                     | AF4 | 4,899,541       | 02/1990 | Okada <i>et al.</i>    |       |           |             |
|                     | AG4 | 4,903,545       | 02/1990 | Louis <i>et al.</i>    |       |           |             |
|                     | AH4 | 4,905,472       | 03/1990 | Okada                  |       |           |             |
|                     | AI4 | 4,914,907       | 04/1990 | Okada                  |       |           |             |
|                     | AJ4 | 4,918,918       | 09/1990 | Miki <i>et al.</i>     |       |           |             |
|                     | AK4 | 4,922,787       | 05/1990 | Fujisaki <i>et al.</i> |       |           |             |

## FOREIGN PATENT DOCUMENTS

| EXAMINER<br>INITIAL |     | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB-CLASS | TRANSLATION |
|---------------------|-----|-----------------|------|---------|-------|-----------|-------------|
|                     | AL4 |                 |      |         |       |           | Yes<br>No   |
|                     | AM4 |                 |      |         |       |           | Yes<br>No   |
|                     | AN4 |                 |      |         |       |           | Yes<br>No   |
|                     | AO4 |                 |      |         |       |           | Yes<br>No   |
|                     | AP4 |                 |      |         |       |           | Yes<br>No   |

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

|  |    |          |  |
|--|----|----------|--|
|  | AR | <u>4</u> |  |
|  | AS | <u>4</u> |  |
|  | AT | <u>4</u> |  |

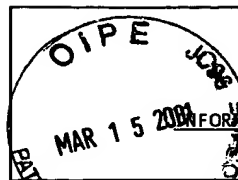
EXAMINER

*DePuy*

DATE CONSIDERED

*3/30/01*

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

|  |                                  |  |                               |
|--|----------------------------------|--|-------------------------------|
|  | FORM PTO-1449                    | ATTY. DOCKET NO.<br>0666.0230000/TGD/ALF | APPLICATION NO.<br>09/717,115 |
|  | INFORMATION DISCLOSURE STATEMENT | APPLICANT<br>Okada <i>et al.</i>         |                               |
|  | FILING DATE<br>November 22, 2000 | GROUP<br>To be assigned                  |                               |

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL |     | DOCUMENT NUMBER | DATE    | NAME                    | CLASS | SUB-CLASS | FILING DATE |
|---------------------|-----|-----------------|---------|-------------------------|-------|-----------|-------------|
|                     | AA5 | 4,932,209       | 06/1990 | Okada <i>et al.</i>     |       |           |             |
|                     | AB5 | 4,953,426       | 09/1990 | Johnson                 |       |           |             |
|                     | AC5 | 4,962,675       | 10/1990 | Aui <i>et al.</i>       |       |           |             |
|                     | AD5 | 4,979,583       | 12/1990 | Thoma <i>et al.</i>     |       |           |             |
|                     | AE5 | 4,986,073       | 01/1991 | Okada                   |       |           |             |
|                     | AF5 | 5,031,403       | 07/1991 | Okada                   |       |           |             |
|                     | AG5 | 5,156,576       | 10/1992 | Johnson                 |       |           |             |
|                     | AH5 | 5,182,966       | 02/1993 | von Kaler <i>et al.</i> |       |           |             |
|                     | AI5 | 5,201,692       | 04/1993 | Johnson <i>et al.</i>   |       |           |             |
|                     | AJ5 | 5,211,077       | 05/1993 | Louis <i>et al.</i>     |       |           |             |
|                     | AK5 | 5,218,886       | 06/1993 | Louis <i>et al.</i>     |       |           |             |

RECEIVED

MAR 21 2001

TO 3600 MAIL ROOM

## FOREIGN PATENT DOCUMENTS

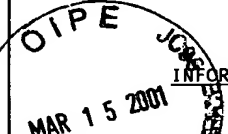
| EXAMINER<br>INITIAL |     | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB-CLASS | TRANSLATION |
|---------------------|-----|-----------------|------|---------|-------|-----------|-------------|
|                     | AL5 |                 |      |         |       |           | Yes<br>No   |
|                     | AM5 |                 |      |         |       |           | Yes<br>No   |
|                     | AN5 |                 |      |         |       |           | Yes<br>No   |
|                     | AO5 |                 |      |         |       |           | Yes<br>No   |
|                     | AP5 |                 |      |         |       |           | Yes<br>No   |

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

|  |    |          |  |
|--|----|----------|--|
|  | AR | <u>5</u> |  |
|  | AS | <u>5</u> |  |
|  | AT | <u>5</u> |  |

|          |              |                 |         |
|----------|--------------|-----------------|---------|
| EXAMINER | <i>DePuy</i> | DATE CONSIDERED | 3/31/03 |
|----------|--------------|-----------------|---------|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

|  |                                  |  |  |                               |
|--|----------------------------------|--|--|-------------------------------|
|  | FORM PTO-1449                    |  | ATTY. DOCKET NO.<br>0666.0230000/TGD/ALF | APPLICATION NO.<br>09/717,115 |
|  | INFORMATION DISCLOSURE STATEMENT |  | APPLICANT<br>Okada <i>et al.</i>         |                               |
|  |                                  |  | FILING DATE<br>November 22, 2000         | GROUP<br>To be assigned       |

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL |     | DOCUMENT NUMBER | DATE    | NAME                | CLASS | SUB-CLASS | FILING DATE |
|---------------------|-----|-----------------|---------|---------------------|-------|-----------|-------------|
|                     | AA6 | 5,259,194       | 11/1993 | Okada               |       |           |             |
|                     | AB6 | 5,289,738       | 03/1994 | Szulczewski         |       |           |             |
|                     | AC6 | 5,311,740       | 05/1994 | Shiba               |       |           |             |
|                     | AD6 | 5,335,496       | 08/1994 | Azuma <i>et al.</i> |       |           |             |
|                     | AE6 | 5,339,631       | 08/1994 | Ohashi              |       |           |             |
|                     | AF6 | 5,377,487       | 01/1995 | Azuma <i>et al.</i> |       |           |             |
|                     | AG6 | 5,456,068       | 10/1995 | Ishii <i>et al.</i> |       |           |             |
|                     | AH6 | 5,473,964       | 12/1995 | Okada <i>et al.</i> |       |           |             |
|                     | AI6 | 5,495,712       | 03/1996 | Yano <i>et al.</i>  |       |           |             |
|                     | AJ6 | 5,505,279       | 04/1996 | Louis <i>et al.</i> |       |           |             |
|                     | AK6 | 5,513,717       | 05/1996 | Louis <i>et al.</i> |       |           |             |

## FOREIGN PATENT DOCUMENTS

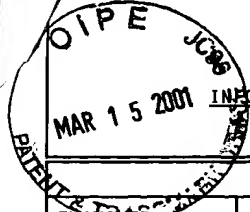
| EXAMINER<br>INITIAL |     | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB-CLASS | TRANSLATION |
|---------------------|-----|-----------------|------|---------|-------|-----------|-------------|
|                     | AL6 |                 |      |         |       |           | Yes<br>No   |
|                     | AM6 |                 |      |         |       |           | Yes<br>No   |
|                     | AN6 |                 |      |         |       |           | Yes<br>No   |
|                     | AO6 |                 |      |         |       |           | Yes<br>No   |
|                     | AP6 |                 |      |         |       |           | Yes<br>No   |

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

|  |    |          |  |
|--|----|----------|--|
|  | AR | <u>6</u> |  |
|  | AS | <u>6</u> |  |
|  | AT | <u>6</u> |  |

|                       |                                |
|-----------------------|--------------------------------|
| EXAMINER <i>DePuy</i> | DATE CONSIDERED <i>3/31/03</i> |
|-----------------------|--------------------------------|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.



FORM PTO-1449

## INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.  
0666.0230000/TGD/ALFAPPLICATION NO.  
09/717,115APPLICANT  
Okada *et al.*FILING DATE  
November 22, 2000GROUP  
To be assigned

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL |     | DOCUMENT NUMBER | DATE    | NAME                | CLASS | SUB-CLASS | FILING DATE |
|---------------------|-----|-----------------|---------|---------------------|-------|-----------|-------------|
|                     | AA7 | 5,542,494       | 08/1996 | Louis <i>et al.</i> |       |           |             |
|                     | AB7 | 5,577,572       | 11/1996 | Johnson             |       |           |             |
|                     | AC7 | 5,593,000       | 01/1997 | Johnson             |       |           |             |
|                     | AD7 | 5,626,204       | 05/1997 | Johnson             |       |           |             |
|                     | AE7 | 5,634,526       | 06/1997 | Johnson             |       |           |             |
|                     | AF7 | 5,694,816       | 12/1997 | Okada <i>et al.</i> |       |           |             |
|                     | AG7 | 5,752,417       | 05/1998 | Okada <i>et al.</i> |       |           |             |
|                     | AH7 | 5,755,098       | 05/1998 | Irikura             |       |           |             |
| <i>alle</i>         | AI7 | 5,802,931       | 09/1998 | Louis               |       |           |             |
| <i>alle</i>         | AJ7 | 5,921,151       | 07/1999 | Louis <i>et al.</i> |       |           |             |
|                     | AK7 |                 |         |                     |       |           |             |

## FOREIGN PATENT DOCUMENTS

| EXAMINER<br>INITIAL |     | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB-CLASS | TRANSLATION |
|---------------------|-----|-----------------|------|---------|-------|-----------|-------------|
|                     | AL7 |                 |      |         |       |           | Yes<br>No   |
|                     | AM7 |                 |      |         |       |           | Yes<br>No   |
|                     | AN7 |                 |      |         |       |           | Yes<br>No   |
|                     | AO7 |                 |      |         |       |           | Yes<br>No   |
|                     | AP7 |                 |      |         |       |           | Yes<br>No   |

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

|  |    |          |  |
|--|----|----------|--|
|  | AR | <u>7</u> |  |
|  | AS | <u>7</u> |  |
|  | AT | <u>7</u> |  |

EXAMINER

*DeRuy*

DATE CONSIDERED

*3/31/03*

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.